IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yasuhiro MIHARA et al.

SERIAL NO:

NEW APPLICATION

HEREWITH

GAU:

EXAMINER:

FILED: FOR:

NOVEL MICROORGANISMS AND METHOD FOR PRODUCING XYLITOL OR D-XYLULOSE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited on the attached European Search Report and listed on the attached form PTO-1449 Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR \$1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- □ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

Docket Number	Serial or <u>Patent No.</u>	Filing or <u>Issue Date</u>	Status or Patentee
0010-1015-0	09/347,001	07/02/99	PENDING
0010-1024-0	6,242,228	06/05/01	SUGIYAMA, et al.
0010-1027-0	6,127,174	10/03/00	TONOUCHI, et al.
0010-1078-0	6,221,634	04/24/01	TAKEUCHI, et al.
0010-1086-0	09/500,908	02/09/00	PENDING
0010-1099-0 PCT	09/509,543	04/11/00	PENDING
0010-1100-0 PCT	09/509,547	04/12/00	PENDING